

Attorney Docket No. P5578  
Client Matter No. 80168.0126

125/a  
2/25/04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.	09/712,935	Confirmation No.	5703
Inventor:	Ying XIE Elaine CHIEN Peter C. WILLIAMS	Title:	EFFICIENT IMPLEMENTATION OF AN AUCTION PROXY BID ENGINE
Filed:	November 15, 2000		
TC/A.U.	3625		
Examiner:	Naeem U. HAQ		
Docket No.	P5578		
Customer No.	32658		

AMENDMENT & RESPONSE TO 1<sup>ST</sup> OFFICE ACTION

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the first Office Action mailed December 10, 2003 in the above-referenced case, please consider the amendment and remarks that follow.

**Amendments to the Specification** begin on page 2 of this paper.

**Amendments to the Claims** are reflected in the listing of claims that begins on page 3 of this paper.

**Amendments to the Drawings** begin on page 8 of this paper.

**Remarks** begin on page 9 of this paper.

**An Appendix** that includes formal drawing figures is attached following page 12 of this paper

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In re application of: Ying XIE  
Elaine CHIEN  
Peter C. WILLIAMS

Serial No. 09/712,935

Filed November 15, 2000

For EFFICIENT IMPLEMENTATION OF AN AUCTION PROXY BID ENGINE

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing is an Amendment in the above-identified application.

☐ No additional fee is required.

The fee has been calculated as shown below:

(Col. 1)		(Col. 2)		(Col. 3)	SMALL ENTITY		OR	OTHER THAN A SMALL ENTITY	
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDIT. FEE		RATE	ADDIT. FEE
TOTAL	* 23	MINUS	** 20	= 3	x 9 =	\$		x 18 =	\$ 54.00
INDEP.	* 4	MINUS	*** 4	= 0	x 43 =	\$		x 86 =	\$ 0
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM					+145 =	\$		+290 =	\$ 0
					TOTAL	\$		TOTAL ADDIT. FEE	\$ 54.00

\* If the entry in Col. 1 is less than the entry in Col.2, write "0" in Col. 3.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest Number Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

☒ Please charge my Deposit Account No. 50-1123 in the amount of \$ 54.00. A duplicate of this sheet is attached.


☐ A check in the amount of \$ \_\_\_\_\_ is attached.

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1123. A duplicate copy of this sheet is attached.

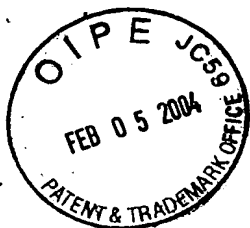
☒ Any filing fees under 37 CFR 1.16 for the presentation of extra claims.

☒ Any patent application processing fees under 37 CFR 1.17.

Dated: February 5, 2004

  
Eugene J. Bernard, Reg. No. 42,320  
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Client Matter No. 80168.0126

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No. 09/712,935

Confirmation No. 5703

Inventor: Ying XIE  
Elaine CHIEN  
Peter C. WILLIAMS

Title: EFFICIENT  
IMPLEMENTATION OF AN  
AUCTION PROXY BID  
ENGINE

Filed: November 15, 2000

TC/A.U. 3625

Examiner: Naeem U. HAQ

Docket No. P5578

Customer No. 32658

CERTIFICATE OF MAILING BY EXPRESS MAIL

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The undersigned hereby certifies that the following documents:

1. Amendment & Response to 1<sup>st</sup> Office Action;
2. Formal Drawings (4 sheets);
3. Amendment Fee Transmittal (2 copies);
4. Return postcard, and

this Certificate of Mailing relating to the above application, were deposited as "Express Mail," Mailing Label No. EL533429547US with the U.S. Postal Service, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on February 5, 2004.

February 5, 2004

Mailing

February 5, 2004

Eugene J. Bernard, Reg. No. 42,320  
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